## Infinite flag varieties and conjugacy theorems

(Kac-Moody algebra and associated group/integrable representation/Bruhat and Birkhoff decompositions/Schubert variety/Cartan and Borel subalgebras)

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ABSTRACT We study the orbit of a highest-weight vector in an integrable highest-weight module of the group G associated to a Kac-Moody algebra g(A). We obtain applications to the geometric structure of the associated flag varieties and to the algebraic structure of g(A). In particular, we prove conjugacy theorems for Cartan and Borel subalgebras of g(A), so that the Cartan matrix A is an invariant of g(A).

1. We first recall some facts about Kac-Moody algebras (see refs. 1 and 2 for details).

A symmetrizable generalized Cartan matrix  $A = (a_{ij})_{i,j \in I}$  indexed by a nonempty finite set I is a matrix of integers satisfying  $a_{ii} = 2$  for all i;  $a_{ij} \leq 0$  if  $i \neq j$ ; DA is symmetric for some non-degenerate diagonal matrix D. We fix such a matrix A, assumed for simplicity to be indecomposable.

Fix a base field  $\mathbb{F}$  of characteristic zero. Choose a triple  $(\mathfrak{h},\Pi,\Pi^{\vee})$ , unique up to isomorphism, where  $\mathfrak{h}$  is a vector space over  $\mathbb{F}$  of dimension |I| + corank A and  $\Pi = \{\alpha_i\}_{i\in I} \subset \mathfrak{h}^*,\Pi^{\vee} = \{h_i\}_{i\in I} \subset \mathfrak{h}$  are linearly independent indexed sets satisfying  $\alpha_j(h_i) = a_{ii}$ .

The Kac-Moody algebra  $\mathfrak{g} = \mathfrak{g}(A)$  is the Lie algebra over  $\mathbb{F}$  generated by  $\mathfrak{h}$  and symbols  $e_i$  and  $f_i(i \in I)$  with defining relations:  $[\mathfrak{h}, \mathfrak{h}] = 0$ ;  $[e_i, f_j] = \delta_{ij}h_i$ ;  $[h, e_i] = \alpha_i(h)e_i$ ,  $[h, f_i] = -\alpha_i(h)f_i$   $(h \in \mathfrak{h})$ ;  $(\text{ad } e_i)^{1-a_{ij}}(e_j) = 0 = (\text{ad } f_i)^{1-a_{ij}}(f_j)$   $(i \neq j)$ . We have the canonical embedding  $\mathfrak{h} \subset \mathfrak{g}$  and linearly independent Chevalley generators  $e_i$ ,  $f_i(i \in I)$  for the derived algebra  $\mathfrak{g}'$  of  $\mathfrak{g}$ . The center  $\mathfrak{g}$  of  $\mathfrak{g}$  lies in  $\mathfrak{h}' := \mathfrak{h} \cap \mathfrak{g}' = \Sigma Fh_i$ . Every ideal of  $\mathfrak{g}$  contains  $\mathfrak{g}'$  or is contained in  $\mathfrak{g}$  (3).

Define an involution  $\omega$  of  $\mathfrak{g}$  by requiring  $\omega(e_i) = -f_i$ ,  $\omega(f_i) = -e_i$ , and  $\omega(h) = -h(h \in \mathfrak{h})$ . Let  $\mathfrak{n}_+$  be the subalgebra of  $\mathfrak{g}$  generated by the  $e_i (i \in I)$ , and put  $\mathfrak{b}_+ = \mathfrak{h} + \mathfrak{n}_+, \mathfrak{n}_- = \omega(\mathfrak{n}_+)$ ,  $\mathfrak{b}_- = \omega(\mathfrak{b}_+)$ . We have the vector space decomposition  $\mathfrak{g} = \mathfrak{n}_- \oplus \mathfrak{h} \oplus \mathfrak{n}_+$ .

We have the root space decomposition  $g = \bigoplus_{\alpha \in \S^*} g_{\alpha}$ , where  $g_{\alpha} = \{x \in g | [h,x] = \alpha(h)x \text{ for all } h \in \S\}$ . Put  $Q = \sum_{i \in I} \mathbb{Z}\alpha_i$ ,  $Q_+ = \sum_{i \in I} \mathbb{Z}_+\alpha_i$  (where  $\mathbb{Z}_+ = \{0,1,\ldots\}$ ), and define a partial order on  $\mathfrak{h}^*$  by:  $\lambda \geq \mu$  if  $\lambda - \mu \in Q_+$ . A root (resp. positive root) is an element of  $\Delta := \{\alpha \in \mathfrak{h}^* | \alpha \neq 0, \ g_{\alpha} \neq (0)\}$  (resp.  $\Delta_+ := \Delta \cap Q_+$ ). We have:  $\mathfrak{h} = g_0$ ,  $\mathfrak{n}_{\pm} = \bigoplus_{\alpha \in \Delta_+} g_{\pm \alpha}$ .

Let  $\operatorname{Aut}(A)$  be the group of all permutations  $\sigma$  of I satisfying  $a_{\sigma(i),\sigma(j)}=a_{ij}$ . We regard  $\operatorname{Aut}(A)$  as a subgroup of  $\operatorname{Aut}_{\mathbf{F}}(\mathfrak{g}')$  by requiring  $\sigma(e_i)=e_{\sigma(i)},\ \sigma(f_i)=f_{\sigma(i)}$ . We define the outer automorphism group  $\operatorname{Out}(A)$  of  $\mathfrak{g}'$  to be  $\operatorname{Aut}(A)$  if  $\dim \mathfrak{g}'<\infty$  and  $\{1,\omega\}\times\operatorname{Aut}(A)$  otherwise.

Define  $r_i \in \operatorname{Aut}_{\mathbf{F}}(\mathfrak{h}), \ i \in I$ , by  $r_i(h) = h - \alpha_i(h)h_i$ , and put  $S = \{r_i | i \in I\}$ . S generates the Weyl group W, and (W,S) is a Coxeter system. W preserves the root system  $\Delta$ . A real (resp. imaginary) root is an element of  $\Delta^{\mathrm{re}} := W(\Pi)$  (resp.  $\Delta^{\mathrm{im}} := \Delta \setminus \Delta^{\mathrm{re}}$ ). If  $\alpha \in \Delta^{\mathrm{re}}$ , then dim  $\mathfrak{g}_{\alpha} = 1$  and  $\Delta \cap \mathbb{Z}\alpha = \{\alpha, -\alpha\}$ ; if  $\alpha \in \Delta^{\mathrm{im}}$ ,

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then  $\mathbb{Z}\alpha \subset \Delta \cup \{0\}$ . Put  $\Delta_+^{\mathrm{re}} = \Delta^{\mathrm{re}} \cap \Delta_+$ . For  $\alpha \in \Delta^{\mathrm{re}}$ , write  $\alpha = w(\alpha_i)$  for some  $w \in W$  and  $i \in I$ ; then  $\alpha^{\vee} := w(h_i)$  and  $r_{\alpha} := wr_i w^{-1}$  depend only on  $\alpha$ .

We choose a nondegenerate g-invariant symmetric F-bilinear form (.|.) on g such that  $(h_i|h_i)$  is positive rational for all  $i \in I$ . (.|.) is nondegenerate and W-invariant on  $\mathfrak{h}$  and, hence, induces a form (.|.) on  $\mathfrak{h}^*$ . One has  $(\alpha|\alpha) > 0$  if  $\alpha \in \Delta^{re}$ . (.|.) induces a nondegenerate form on  $\mathfrak{g}'/\mathfrak{c}$ , which is unique up to multiples.

2. We now construct the group G associated to the Lie algebra g'. Other approaches may be found in refs. 4-8.

A g-module V, or  $(V, \pi)$ , where  $\pi: \mathfrak{g}' \to \operatorname{End}_{\mathbf{F}}(V)$ , is called *integrable* if  $\pi(e)$  is locally nilpotent whenever  $e \in \mathfrak{g}_{\alpha}$ ,  $\alpha \in \Delta^{\operatorname{re}}$  ( $\alpha \in \pm \Pi$  suffices). (g,ad) is an integrable g'-module.

Let  $G^*$  be the free product of the additive groups  $g_{\alpha}$  ( $\alpha \in \Delta^{re}$ ), with canonical inclusions  $i_{\alpha}$ :  $g_{\alpha} \to G^*$ . For any integrable g'-module  $(V, \pi)$ , define a homomorphism  $\pi^* \colon G^* \to \operatorname{Aut}_F(V)$  by  $\pi^*(i_{\alpha}(e)) = \exp \pi(e)$ . Let  $N^*$  be the intersection of all  $\operatorname{Ker}(\pi^*)$ , put  $G = G^*/N^*$ , and let  $q: G^* \to G$  be the canonical homomorphism. For  $e \in g_{\alpha}(\alpha \in \Delta^{re})$ , put  $\exp e = q(i_{\alpha}(e))$ , so that  $U_{\alpha}$ :  $= \exp g_{\alpha}$  is an additive one-parameter subgroup of G. The  $U_{\alpha}(\alpha \in \pm \Pi)$  generate G, and G is its own derived group. Denote by  $U_+$  (resp.  $U_-$ ) the subgroup of G generated by the  $U_{\alpha}$  (resp.  $U_{-\alpha}$ ),  $\alpha \in \Delta^{re}_+$ .

Example: Let A be the Cartan matrix of a split simple finite-dimensional Lie algebra  $\mathfrak g$  over  $\mathbb F$ . Then the group G associated to  $\mathfrak g\cong \mathfrak g'(A)$  is the group  $G(\mathbb F)$  of  $\mathbb F$ -valued points of the connected simply-connected algebraic group G associated to G, and G and G and G associated to G and G associated to G associated to G associated to G associated to G as a central extension by G as G and G as G as G and G as G

$$\begin{pmatrix} 1 & \mathbb{F}[z] \\ 0 & 1 \end{pmatrix}$$
 and  $\begin{pmatrix} 1 & 0 \\ z\mathbb{F}[z] & 1 \end{pmatrix}$ ,

so that no proper subset of  $\{U_{\alpha}|\alpha\in\Delta_{+}^{re}\}$  generates  $U_{+}$ .

To any integrable g'-module  $(V, \pi)$  we associate the homomorphism (again denoted by)  $\pi: G \to \operatorname{Aut}_F(V)$  satisfying  $\pi(\exp e) = \exp \pi(e)$  for  $e \in \mathfrak{g}_{\alpha}(\alpha \in \Delta^{\operatorname{re}})$ . The homomorphism associated to  $(\mathfrak{g}, \operatorname{ad})$ , denoted Ad, maps G into  $\operatorname{Aut}_F(\mathfrak{g})$ . The kernel of Ad is the center C of G, and  $\operatorname{Ad}(G)$  acts faithfully on  $\mathfrak{g}'/\mathfrak{c}$ . We have  $\pi(\operatorname{Ad}(g)x) = \pi(g)\pi(x)\pi(g)^{-1}$  for any integrable  $\mathfrak{g}'$ -module  $(V, \pi)$  and all  $g \in G$ ,  $x \in \mathfrak{g}'$ . It follows that if  $(V, \pi)$  is an integrable  $\mathfrak{g}'$ -module with  $\operatorname{Ker} \pi \subset \mathfrak{c}$ , then (on G)  $\operatorname{Ker} \pi \subset C$ .

For each  $i \in I$  we have a unique homomorphism  $\phi_i$ :  $SL_2(\mathbb{F}) \to G$  satisfying:

$$\phi_i\!\!\left(\begin{pmatrix}1&t\\0&1\end{pmatrix}\right) = \exp\,te_i,\;\phi_i\!\!\left(\begin{pmatrix}1&0\\t&1\end{pmatrix}\right) = \exp\,tf_i\,(t\in\mathbb{F}).$$

Let  $G_i = \phi_i(SL_2(\mathbb{F}))$ ,  $H_i = \phi_i(\{\operatorname{diag}(t, t^{-1}) | t \in \mathbb{F}^*\})$ , and let  $N_i$  be the normalizer of  $H_i$  in  $G_i$ . Let  $H(\operatorname{resp.} N)$  be the subgroup of G generated by the  $H_i$  (resp.  $N_i$ ); H is an abelian normal subgroup

of N. Using the modules  $L(\Lambda)$  (see section 3), we show that the  $\phi_i$  are monomorphisms and that H is the direct product of the  $H_i$ . Using the fact that W is a Coxeter group, we have an isomorphism  $\phi: W \to N/H$  such that  $\phi(r_i)$  is the coset  $N_iH \setminus H$ . We identify W and N/H using  $\phi$ ; this gives sense to expressions such as wH and  $wU_+w^{-1}$  occurring in the sequel. We put  $B_+ = HU_+$ ,  $B_- = HU_-$ .

LEMMA 1. If  $\alpha, \beta \in \Delta^{re}$  and  $\alpha(\beta^{v}) \geq 0$ , then:

- (a)  $(\mathbb{Z}_{+}\alpha + \mathbb{Z}_{+}\beta) \cap \Delta = \{\alpha, \beta, \alpha + \beta\} \cap \Delta^{re}$ .
- (b)  $(U_{\alpha}, U_{\beta}) \subset U_{\alpha+\beta}$  if  $\alpha + \beta \in \Delta^{re}$  and  $= \{1\}$  otherwise.

Proof: If  $\gamma = m\alpha + n\beta \in \Delta$ , where  $m, n \in \mathbb{Z}_+$ , then  $\gamma(\beta^{\vee}) \geq n\beta(\beta^{\vee}) = 2n$ , so that by using root strings,  $m\alpha \in \Delta \cup \{0\}$  and, hence,  $m \leq 1$ . Similarly,  $n \leq 1$ . In particular,  $2\gamma \notin \Delta$ , so that  $\gamma \in \Delta^{re}$ . This proves part a; part b follows from part a.

COROLLARY 1 (cf. ref. 8). Let  $\alpha \in \Pi$  and let  $U^{\alpha} = \langle xyx^{-1}|x \in U_{\alpha}, y \in U_{\beta}$  for some  $\beta \in \Delta_{+}^{re} \setminus \{\alpha\}$ . Then  $U^{\alpha} = U_{+} \cap r_{\alpha}U_{+}r_{\alpha}^{-1}$  and  $U_{+} = U_{\alpha} \propto U^{\alpha}$ .

*Proof:* The inclusion  $r_{\alpha}xU_{\beta}x^{-1}r_{\alpha}^{-1} \subset U^{\alpha}$  for  $\beta \in \Delta_{+}^{re}\setminus\{\alpha\}$  and  $x \in U_{\alpha}$  follows from Lemma 1 if  $\alpha(\beta^{\vee}) \geq 0$ ; the case  $\alpha(\beta^{\vee}) < 0$  reduces to the first one.

COROLLARY 2.

- (a) (G, B<sub>+</sub>, N, S) is a Tits system.
- (b)  $G = \bigcup_{w \in W} B_+ w B_+$  (Bruhat decomposition).
- (c)  $G = \bigcup_{w \in W} B_{-w}B_{+}$  (Birkhoff decomposition).

**Proof:** The proofs of parts a and b are standard using Corollary 1 (cf. ref. 9). For part c one checks that

$$B_-w B_+r_i \subset B_-w B_+ \cup B_-wr_i B_+$$
.

The proof of the following lemma is not difficult; it involves a completion of the enveloping algebra of  $n_+$ .

LEMMA 2.

- (a) Ad is faithful on U<sub>+</sub>.
- (b) Let  $w \in W$ . Then to any  $e \in n_+ \cap w(n_-)$  there corresponds  $a \in U_+$  such that for any integrable g'-module  $(V, \pi)$ ,  $\pi(e)$  is locally nilpotent and  $\pi(u) = \exp \pi(e)$ .

COROLLARY 3.

- (a)  $U_+ \cap B_- = \{1\}.$
- (b)  $C \subset H$ .
- (c)  $C \cong \text{Hom}(\mathbb{Z}^I/A\mathbb{Z}^I,\mathbb{F}^*)$ .

For the next corollary, we take  $\mathbb{F} = \mathbb{C}$ . Define a conjugate-linear involution  $\omega_0$  of  $\mathfrak{g}'$  by requiring  $\omega_0(e_i) = -f_i, \omega_0(f_i) = -e_i/i$   $\in I$ ), and let K be the fixed-point set of the corresponding involution of G. For  $i \in I$ , put  $K_i = G_i \cap K \cong SU_2$ ,  $H_i^+ = \phi_i(\{\operatorname{diag}(t,t^{-1})|t \in \mathbb{R}, t > 0\})$ ,  $H_+ = \prod_{i \in I} H_i^+$ . Using Corollary 2, the argument in ref. 9, section 8, proves Corollary 4.

COROLLARY 4.

- (a) K is generated by the  $K_i(i \in I)$ .
- (b) (Iwasawa decomposition) The map  $\phi$ :  $K \times H_+ \times U_+ \rightarrow G$  defined by  $\phi(k,h,u) = khu$  is a bijection.

For  $X \subset \Pi$ , let  $W_X$  be the subgroup of W generated by  $\{r_\alpha | \alpha \in X\}$ , and put  $P_X = B_+W_XB_+$ .  $P_X$  and  $\omega(P_X)$  are called standard parabolic subgroups of G (their properties in the framework of Tits systems can be found in ref. 10). Let  $U^X$  be the smallest normal subgroup of  $U_+$  containing  $U_\beta$  for all  $\beta \in \Delta_+^{r_+} \backslash \mathbb{Z}X$ , let  $M_X$  be the subgroup of G generated by H and the  $U_\beta$  ( $\beta \in \pm X$ ), and let  $U_X = U_+ \cap M_X$ .

COROLLARY 5. Let X be a subset of  $\Pi$ . Then:

(a) 
$$P_X = M_X \propto U^X$$
;  $M_X = P_X \cap \omega(P_X)$ ; 
$$U^X = \bigcap_{w \in W_X} wU_+w^{-1}.$$

In particular,

$$\bigcap_{\mathbf{w} \in \mathbf{W}} \mathbf{w} \mathbf{U}_{+} \mathbf{w}^{-1} = \{1\}.$$

(b)  $U^X = (U^X \cap (wU_+w^{-1}))(U^X \cap (wU_-w^{-1}))$ 

for every  $w \in W$ .

(c) For every  $g \in G$  there exists a unique  $(u,n,u') \in U_+ \times N \times U_+$  (resp.  $U_- \times N \times U_+$ ) such that  $u \in nU_-n^{-1}$  and g = unu'. COROLLARY 6. N(resp. H) is the normalizer (resp. centralizer) of H in G.

**Proof:** Because  $G = NU_-U_+$  by Corollary 5c, it suffices to show that the normalizer of H in  $U_-$  (resp.  $U_+$ ) is trivial. This follows from Corollary 1 and the last part of Corollary 5a.

3. Now we turn to representation theory. Put  $P = \{ \Lambda \in \mathfrak{h}^* | \Lambda(h_i) \in \mathbb{Z}(i \in I) \}$ ,  $P_+(\text{resp. } P_{++}) = \{ \Lambda \in P | \Lambda(h_i) \geq 0 \text{ (resp. } > 0), \text{ all } i \in I \}$ .

We fix in sections 3 and 4 a  $\Lambda \in P_+$ . Then there exists an irreducible g-module  $(L(\Lambda), \pi_{\Lambda})$ , unique up to isomorphism, containing a  $v^+ \neq 0$  satisfying:  $\pi_{\Lambda}(\mathfrak{n}_+)v^+ = (0)$ ;  $\pi_{\Lambda}(h)v^+ = \Lambda(h)v^+$   $(h \in \mathfrak{h})$ .  $L(\Lambda)$  is an absolutely irreducible integrable g'-module, and we have  $L(\Lambda) = \pi_{\Lambda}(U(\mathfrak{n}_-))v^+$ ,  $\operatorname{End}_{\mathfrak{g}'}(L(\Lambda)) = \mathbb{F}I_{L(\Lambda)}$ . The module  $L(\Lambda)$  is called an integrable module with highest weight  $\Lambda$  (see refs. 1 and 2 for details).

We have the weight space decomposition  $L(\Lambda) = \bigoplus_{\lambda \in \mathfrak{h}^*} L(\Lambda)_{\lambda}$ , where  $L(\Lambda)_{\lambda} = \{v \in L(\Lambda) | h(v) = \lambda(h)v \text{ for all } h \in \mathfrak{h}\}$ . Elements of  $P(\Lambda) := \{\lambda \in \mathfrak{h}^* | L(\Lambda)_{\lambda} \neq (0)\}$  are called weights of  $L(\Lambda)$ . We have  $\mathbb{F}v^+ = L(\Lambda)_{\Lambda} = \{v \in L(\Lambda) | \mathfrak{n}_+(v) = (0)\}$ ; elements of  $\mathbb{F}^*v^+$  are called highest-weight vectors. We have  $P(\Lambda) \subset \Lambda - Q_+$ , and dim  $L(\Lambda)_{w(\lambda)} = \dim L(\Lambda)_{\lambda}$  if  $\lambda \in \mathfrak{h}^*$ ,  $w \in W$ .

dim  $L(\Lambda)_{w(\lambda)} = \dim L(\Lambda)_{\lambda}$  if  $\lambda \in \mathfrak{h}^*$ ,  $w \in W$ . Regarded as a g-module under  $\pi_{\Lambda}^* := \pi_{\Lambda} \circ \omega$ ,  $L(\Lambda)$  is denoted  $L^*(\Lambda)$ , and  $v^+$  is denoted  $v^-$ . Let  $\langle \ , \ \rangle$  be the unique g-invariant F-bilinear form on  $L(\Lambda) \times L^*(\Lambda)$  satisfying  $\langle v^+, v^- \rangle = 1$ ; it is non-degenerate.

By using Corollary 3b, it is easy to see that the actions of  $\mathfrak{g}'$  and G on the direct sum of all integrable modules with fundamental highest weights are faithful.

Let  $X = \{ \alpha \in \Pi | \Lambda(\alpha^{\vee}) = 0 \}$ . Then Corollaries 2b and 5a imply Corollary 7.

COROLLARY 7.  $P_X$  (resp.  $M_X$ ) is the stabilizer of  $\mathbb{F}v^+$  (resp.  $v^+$   $\otimes v^-$ ) in G.

Some time ago, B. Kostant proved (cf. ref. 11) that the ideal of the orbit of a highest-weight vector in a nontrivial irreducible finite-dimensional representation of a simple Lie group over  $\mathbb{C}$  is generated by quadratic polynomials. His result and proof can be extended to the module  $L(\Lambda)$  (19). We need only the following explicit form of the equations for  $G(v^+)$ .

LEMMA 3. For each  $\alpha \in \Delta \cup \{0\}$ , choose dual bases  $\{x_{\alpha}^{(i)}\}$  of  $g_{\alpha}$  and  $\{y_{\alpha}^{(i)}\}$  of  $g_{-\alpha}$ . Then all v from the orbit  $G(v^+)$  satisfy

$$(\Lambda | \Lambda) \mathbf{v} \otimes \mathbf{v} = \sum_{\alpha \in \Delta \cup \{0\}} \mathbf{x}_{\alpha}^{(i)}(\mathbf{v}) \otimes \mathbf{y}_{\alpha}^{(i)}(\mathbf{v}). \tag{*}$$

Proof:  $v \otimes v$  lies in the highest component  $V \cong L(2\Lambda)$  of  $L(\Lambda) \otimes L(\Lambda)$  because  $v^+ \otimes v^+$  does. The equation (\*) of Lemma 3 now follows from the fact that the generalized Casimir operator (see refs. 1 and 2 for a definition) acts as (known) scalars on  $L(\Lambda)$  and  $L(2\Lambda)$ .

Let V be the set of all non-zero  $v \in L(\Lambda)$  satisfying Eq. (\*). It is a G-invariant cone. For  $v \in L(\Lambda)$ , write  $v = \sum_{\lambda} v_{\lambda}$ , where  $v_{\lambda} \in L(\Lambda)_{\lambda}$ . Put  $\sup_{\lambda} v_{\lambda} = v_{\lambda} \in L(\Lambda)$ , and let  $v_{\lambda} \in L(\Lambda)$  be the

convex hull of supp(v). We now prove a crucial lemma.

LEMMA 4. If  $v \in \mathcal{V}$ , then:

(a) The vertices of the polyhedron S(v) lie in the orbit  $W(\Lambda)$ .

(b) The edges of S(v) are parallel to real roots.

The lemma follows from a simple observation: Let  $\mathfrak{g}=\oplus_{r\in \mathbf{R}}$  $g_r$  be an **R**-gradation of the Lie algebra g such that  $g_0 = h$ , and let  $L(\Lambda) = \bigoplus_{r \in \mathbb{R}} L(\Lambda)_r$  be the corresponding gradation of  $L(\Lambda)$ with  $v^+ \in L(\Lambda)_0$ . Then: (i) If  $v \in \mathcal{V} \cap L(\Lambda)_r$ , then  $v \in L(\Lambda)_{w(\Lambda)}$ for some  $w \in W$ . (ii) The highest component of any  $v \in \mathcal{V}$  lies

Indeed, aside from "real" in part b, Lemma 4 is immediate from the observation. Because the difference of two elements of  $W(\Lambda)$  cannot be a non-zero multiple of an imaginary root, Lemma 4 follows. To prove the observation, note that if  $\lambda, \mu \in$  $P(\Lambda)$ , then  $(\Lambda | \Lambda) - (\lambda | \mu) \ge 0$ , with equality iff  $\lambda = \mu \in W(\Lambda)$ (2). By examining the  $L(\Lambda)_r \otimes L(\Lambda)_r$  component of Eq. (\*), i follows. Similarly, ii follows by considering the highest component of Eq. (\*) in the **R**-gradation of  $L(\Lambda) \otimes L(\Lambda)$  defined by

$$L(\Lambda)_r \otimes L(\Lambda)_s \subset (L(\Lambda) \otimes L(\Lambda))_{r+s}$$
.

4. Now we turn to the study of the cone  $\mathcal{V}$ . Put  $\tilde{H} =$  $\operatorname{Hom}(Q, \mathbb{F}^*)$ , and define a homomorphism  $\operatorname{Ad}: \tilde{H} \to \operatorname{Aut}_{\mathbb{F}}(\mathfrak{g})$  by  $Ad(h)x = h(\alpha)x$  if  $x \in g_{\alpha}$ . Ad induces an action of H on G, defining  $\tilde{H} \propto G$ , to which Ad extends in the obvious way. We extend the action of G on  $L(\Lambda)$  to  $\tilde{H} \propto G$  by requiring  $\tilde{H}$  to fix  $v^+$ .

Define the Bruhat order  $\geq$  on the orbit  $W(\Lambda)$  to be the partial order generated by:  $\lambda \ge \mu$  if  $\lambda \ge \mu$  and  $\lambda = r_{\alpha}(\mu)$  for some  $\alpha$  $\in \Delta^{re}$ . Given  $\lambda \in W(\Lambda)$ , we put:

$$\begin{split} &\mathcal{V}(\lambda)_{+} = \{v \in \mathcal{V} | \mathrm{supp}(v) \geq \lambda \quad \text{and} \quad \lambda \in \mathrm{supp}(v) \}; \\ &\mathcal{V}(\lambda)_{-} = \{v \in \mathcal{V} | \mathrm{supp}(v) \leq \lambda \quad \text{and} \quad \lambda \in \mathrm{supp}(v) \}; \\ &\overline{\mathcal{V}(\lambda)_{+}} = \bigcup_{\mu \geq \lambda} \mathcal{V}(\mu)_{+}; \\ &\overline{\mathcal{V}(\lambda)_{-}} = \bigcup_{\lambda \geq \mu} \mathcal{V}(\mu)_{-}; \\ &U(\lambda) = \bigcap_{w \in W: w(\Lambda) = \lambda} w U_{-} w^{-1}; \ U_{\pm}(\lambda) = U(\lambda) \cap U_{\pm}; \\ &\Phi(\lambda) = \{\alpha \in \Delta^{\mathrm{re}}_{+} | \mathbf{r}_{\alpha}(\lambda) > \lambda \}. \end{split}$$

Note that  $U_{+}(\lambda)$  is generated by the  $U_{\beta}$  ( $\beta \in \Phi(\lambda)$ ).

THEOREM 1. Let  $\varepsilon$  be + or -. Then:

(a)  $\mathcal{V}$  is the disjoint union of the  $\mathcal{V}(\lambda)_{\varepsilon}$ ,  $\lambda \in W(\Lambda)$ .

(b) If  $\lambda \in W(\Lambda)$ , then the group  $\mathbb{F}^* \times U_{\varepsilon}(\lambda)$  acts simply-transitively on  $V(\lambda)_{\varepsilon}$ . In particular,  $\mathbb{F}^* \times G$  acts transitively on V.

(c) Let  $\mathcal{T}$  be a  $U_{\varepsilon}$ -invariant topology on  $\mathcal{V}$  such that for all  $\lambda \in W(\Lambda)$ : (i)  $\{v \in \mathcal{V} | v_{\lambda} \neq 0\}$  is  $\mathcal{T}$ -open; (ii)  $v_{\lambda}$  lies in the  $\mathcal{T}$ -closure of  $\mathbb{F}^* \tilde{H}(v)$  if  $v \in \mathcal{V}$  and  $v_{\lambda} \neq 0$ . Then for every  $\lambda \in W(\Lambda)$ , the set  $\overline{\mathcal{V}(\lambda)_{\varepsilon}}$  is the T-closure of  $\mathcal{V}(\lambda)_{\varepsilon}$ .

Proof. Part a is clear by Lemma 4a. Part b is proved as follows, by "killing off" edges of S(v).

Fix  $\lambda \in W(\Lambda)$ . If  $v = \sum_{\mu} v_{\mu} \in \mathcal{V}$  and  $v_{\lambda} \neq 0$ , we put  $\Phi'(v)$ =  $\{\alpha \in \Phi(\lambda) | [\lambda, r_{\alpha}(\lambda)] \text{ is an edge of } S(v) \}$ .  $\Phi(v) = \Phi(\lambda) \cap$  $\mathbb{Q}(\lambda - S(v))$ . Then we have:

(i) 
$$\Phi'(v) \subset \Phi(v)$$
;  $v \in \mathcal{V}(\lambda)_-$  if  $\Phi'(v) = \emptyset$ .

(ii) If  $\alpha \in \Phi'(v)$ , then there exists  $e \in \mathfrak{g}_{\alpha}$  such that  $\alpha \in \Phi((\exp e)v) \subset \Phi(v)$ .

Indeed, *i* is immediate from Lemma 4. To prove *ii*, choose non-zero  $e' \in \mathfrak{g}_{\alpha}$ . Because  $\mathbb{F}\pi_{\Lambda}(e')^{-\lambda(\alpha^{\vee})}v_{\lambda} = L(\Lambda)_{r_{g}(\lambda)}$ , it is easy to see that there exists t in an algebraic closure of  $\mathbb{F}$  such that  $r_{\alpha}(\lambda) \in \text{supp}((\exp te')v)$ . Then  $\alpha \in \Phi$  ((exp te')v), by Lemma 4; in particular,  $\lambda + \alpha \in \text{supp}((\exp te')v)$ , which implies t  $\in$  F. Taking e = te', ii holds. i and ii imply the following.

(iii) If  $v \in \mathcal{V}$  and  $v_{\lambda} \neq 0$ , then there exists  $u \in U_{+}(\lambda)$  such

that  $\pi_{\Lambda}(u)v \in \mathcal{V}(\lambda)_{-}$ .

In particular, taking  $v \in \mathcal{V}(\lambda)_+$  in iii, we obtain:

$$\pi_{\Lambda}(u)v \in \mathcal{V}(\lambda)_{+} \cap \mathcal{V}(\lambda)_{-} = L(\Lambda)_{\lambda} \setminus \{0\} \subset \mathbb{F}^{*} N(v^{+}).$$

By varying  $\lambda$ , this and part a imply:

(iv) 
$$\mathcal{V} = \mathbb{F}^* G(v^+)$$
.

From iv and Corollary 2c we deduce:

$$(v) \quad \mathcal{V}(\Lambda)_{-} = \mathbb{F}^* \ U_{-}(v^+).$$

v and Corollaries 5a and 7 imply:

(vi)  $\mathbb{F}^* \times U(\Lambda)$  acts simply transitively on  $\mathcal{V}(\Lambda)_-$ .

From vi and Corollary 5b, Theorem 1b follows.

Finally, we prove *Theorem 1c*. First, let  $\varepsilon = +$ . Fix  $\lambda \in$  $W(\Lambda)$ , and let  $Y(\lambda)$  be the  $\mathcal{T}$ -closure of  $V(\lambda)_+$ .  $Y(\lambda)$  is  $U_+$ -invariant because  $\mathcal{T}$  and  $\mathcal{V}(\lambda)_+$  are. If  $v \in \mathcal{V}(\lambda)_+$  and  $\mu$  is a vertex of S(v), then  $\mu \ge \lambda$  by Lemma 4. By i in Theorem 1c the same holds if  $v \in Y(\lambda)$ , so that  $Y(\lambda) \subset \overline{V(\lambda)_+}$  by part a. To prove the reverse inclusion, it suffices to show that if  $\alpha$  $\in \mathring{\Delta}_{+}^{re}$  and  $r_{\alpha}(\lambda) > \lambda$ , then  $\mathscr{V}(r_{\alpha}(\lambda))_{+} \subset Y(\lambda)$ . For this, note that  $\pi_{\Lambda}(\exp \mathfrak{g}_{\alpha})(L(\Lambda)_{\lambda}\setminus\{0\}) \subset \mathscr{V}(\lambda)_{+}$ , so that  $L(\Lambda)_{r_{\alpha}(\lambda)}\setminus\{0\} \subset Y(\lambda)$ by ii in Theorem 1c. Because  $Y(\lambda)$  is  $U_+$ -invariant, we obtain  $\mathcal{V}(r_a(\lambda))_+ \subset Y(\lambda)$  from Theorem 1b. Similarly, Theorem 1c holds for  $\varepsilon = -$ .

5. Now we apply our results to the structure theory of the Kac-Moody algebra g.

LEMMA 5.

(a) Let  $\Lambda \in P_+$  and let m be a subalgebra of  $n_+$  of finite codimension. Then  $L(\Lambda)^{\mathfrak{m}} := \{ v \in L(\Lambda) | \pi_{\Lambda}(\mathfrak{m})v = (0) \}$  is finite-di-

(b) If  $\Lambda \in P_{++}$ , then  $\mathfrak{b}_{+}$  is the stabilizer of  $\mathbb{F}_{V_{\Lambda}}$  in  $L(\Lambda)$ .

*Proof.* Let  $\Lambda \in P_+$ . Because  $Y := \bigcup_{i \in I} \operatorname{Ad}(U_+)e_i$  spans  $\mathfrak{n}_+$ , there exist  $y_1, \ldots, y_r \in Y$  such that  $\pi_+ = \mathfrak{m} + \mathbb{F} y_1 + \ldots + \mathbb{F} y_r$ .  $V: = \pi_{\Lambda}^*(U(\mathbb{F} y_1) \ldots U(\mathbb{F} y_r))v^-$  is finite-dimensional because the  $y_j$  are locally nilpotent on  $L^*(\Lambda)$ . The linear map from  $L(\Lambda)^m$  to  $V^*$  induced by  $\langle , \rangle$  is injective because  $L^*(\Lambda) = V + \pi_{\Lambda}^*(\mathfrak{m})L^*(\Lambda)$ . This proves part a.

Let  $\Lambda \in P_{++}$ . Because  $\pi_{\Lambda}(f_i)v^+ \neq 0$  for all  $i \in I$ , it is clear that  $V: = \{x \in \mathfrak{n}_- | \pi_{\Lambda}(x)v^+ = 0\}$  is  $ad(\mathfrak{b}_+)$ -stable. The ideal  $ad(U(n_{-}))V$  of g is contained in  $n_{-}$  and, hence, is (0). This proves part b.

Let  $\mathfrak{p}$  be a Lie algebra and  $(V, \pi)$  a  $\mathfrak{p}$ -module, both over  $\mathbb{F}$ . We call  $\mathfrak{p}$ : (i)  $\pi$ -finite if  $\pi(U(\mathfrak{p}))v$  is finite-dimensional for all  $v \in V$ ; (ii)  $\pi$ -triangular if for any  $v \in V$  there exist  $\pi(\mathfrak{p})$ -stable subspaces  $V_0 \subset \ldots \subset V_n$  of V with  $v \in V_n$  and dim  $V_j =$  $j, \ 0 \le j \le n$ ; and (iii)  $\pi$ -diagonalizable (resp.  $\pi$ -semisimple) if V is a sum of one-dimensional (resp. finite-dimensional irreducible) p-submodules. We call  $x \in p$   $\pi$ -finite, -triangular, etc., if Fx is.

LEMMA 6. The following are equivalent for a subalgebra' p of g:

(a)  $\mathfrak{p}$  is  $ad_{\mathfrak{g}'}$ -triangular.

(b)  $\mathfrak p$  is  $\pi_{\Lambda^-}$  and  $\pi_{\Lambda}^*$ -triangular for all  $\Lambda \in P_+$ .

(c)  $Ad(g)p \subset b_+ \cap w(b_-)$  for some  $g \in G$  and  $w \in W$ . *Proof:* Clearly, c implies a. We will show that a implies band that b implies c. We assume p to be finite-dimensional and solvable because both a and b imply this.

Assume a. Because p is finite-dimensional, there exists a finite-dimensional subspace A of g' such that  $\mathfrak{b}_+ + [\mathfrak{p}, \mathfrak{b}_+] =$  $\mathfrak{b}_+ + A$ . Put  $V = \operatorname{ad}(U(\mathfrak{p}))\mathfrak{b}_+$ . Then  $V/\mathfrak{b}_+$  is finite-dimensional because  $\mathfrak p$  is  $\mathrm{ad}_{\mathfrak g}$ -finite and  $V=\mathfrak b_++\mathrm{ad}(U(\mathfrak p))A$ . Hence, the  $\mathfrak p$ -stable subalgebra  $\mathfrak m:=\{x\in\mathfrak g|(x|V)=(0)\}$  of  $\mathfrak n_+$  is of finite codimension. Let  $\Lambda \in P_+$ . Then  $L(\Lambda)^m$  is  $\pi_{\Lambda}(\mathfrak{p})$ -stable, and is finite-dimensional by Lemma 5a.  $\mathfrak{p}$  is  $\pi_{\Lambda}$ -finite because  $\mathfrak{p}$  is  $\operatorname{ad}_{v}$ -finite and  $v^{+} \in L(\Lambda)^{m}$  is a cyclic vector for  $L(\Lambda)$  under g'. If  $x \in \mathfrak{p}$ , then the eigenvalues of  $\mathrm{ad}_{g'}(x)$  lie in  $\mathbb{F}$ ; because  $L(\Lambda)$  is an absolutely irreducible g'-module, it follows that all eigenvalues of  $\pi_{\Lambda}(x)$  are congruent modulo  $\mathbb{F}$  and, hence, by taking traces lie in  $\mathbb{F}$ . Because  $\mathfrak{p}$  is solvable and  $\pi_{\Lambda}$ -finite, and the eigenvalues of  $\pi_{\Lambda}(x)$  lie in  $\mathbb{F}$  for any  $x \in \mathfrak{p}$ ,  $\mathfrak{p}$  must be  $\pi_{\Lambda}$ -triangular. Similarly,  $\mathfrak{p}$  is  $\pi_{\Lambda}^*$ -triangular, proving b.

Now assume b. Take  $\Lambda \in P_{++}$  and let  $V = \pi_{\Lambda}(U(\mathfrak{p}))v^+$ . Then dim  $V < \infty$ , and there exists a  $\mathfrak{p}$ -invariant ideal of  $\mathbb{F}[V]$  that defines the projectivization of  $V \cap V$ . Because  $V \cap V \neq \emptyset$  and  $\mathfrak{p}$  is triangular on V, there exists  $v \in V \cap V$  such that  $\mathbb{F}v$  is  $\pi_{\Lambda}(\mathfrak{p})$ -invariant (cf. ref. 12). By Theorem 1b, choose  $g_1 \in G$  such that  $\pi_{\Lambda}(g_1)v \in \mathbb{F}^*v^+$ , so that  $\mathrm{Ad}(g_1)\mathfrak{p} \subset \mathfrak{b}_+$  by Lemma 5b. Similarly, choose  $g_2 \in G$  such that  $\mathrm{Ad}(g_2)\mathfrak{p} \subset \mathfrak{b}_-$ . By Corollary 2c, write  $g_1g_2^{-1} = u_+nu_-$ , where  $u_+ \in U_+$ ,  $u_- \in U_-$  and  $n \in N$ , and put  $g = u_+^{-1}g_1$ . Then  $\mathrm{Ad}(g)\mathfrak{p} \subset \mathfrak{b}_+ \cap \mathrm{Ad}(n)\mathfrak{b}_-$ , proving c.

COROLLARY 8. Let  $\mathfrak p$  be a subalgebra of  $\mathfrak g$ . Then  $\mathfrak p$  is  $\mathrm{ad}_{\mathfrak g'/\mathfrak c}$ -diagonalizable (resp. -triangular) if and only if there exist  $\mathfrak g \in G$  and  $\mathfrak w \in W$  such that  $\mathrm{Ad}(\mathfrak g)\mathfrak p \subset \mathfrak h$  (resp.  $\subset \mathfrak b_+ \cap w(\mathfrak b_-)$ ).

COROLLARY 9. Let  $\mathfrak p$  be a subalgebra of  $\mathfrak g'$ . Then  $\mathfrak p$  is  $\mathrm{ad}_{\mathfrak g',c}$ -finite (resp. -triangular, -diagonalizable or -semisimple) if and only if  $\mathfrak p$  is  $\pi$ -finite (resp. -triangular, -diagonalizable or -semisimple) for all integrable  $\mathfrak g'$ -modules  $(V,\pi)$ . In particular a  $\mathfrak g'$ -module  $(V,\pi)$  is integrable if and only if all  $\mathrm{ad}_{\mathfrak g'}$ -finite elements of  $\mathfrak g'$  are  $\pi$ -finite.

Corollary 10. Let  $x \in g$  be  $ad_g$ -finite. Then there exist unique  $x_s$  and  $x_n$  in g such that:  $x = x_s + x_n$ ;  $[x_s, x_n] = 0$ ;  $x_s$  (resp.  $x_n$ ) is  $\pi_{\Lambda^-}$  and  $\pi_{\Lambda}^*$ -semisimple (resp. -locally nilpotent) for all  $\Lambda \in P_+$ .  $x_s$  (resp.  $x_n$ ) is  $ad_g$ -semisimple (resp. -locally nilpotent), and  $g^x = g^{x_s} \cap g^{x_n}$ . x is  $ad_g$ -triangular if and only if there exist  $g \in G$  and  $w \in W$  such that  $Ad(g)x_s \in h$  and  $Ad(g)x_n \in n_+ \cap w(n_-)$ .  $x_n \in g'$  is  $\pi$ -locally nilpotent for all integrable g'-modules  $(V,\pi)$ , and  $x_n \in n_+$  if  $x \in h_+$ .

By using Lemmas 6 and 2b, these corollaries follow from two observations: (i) If  $\mathfrak p$  is an abelian subspace of  $\mathfrak b_+ \cap w(\mathfrak b_-)$ , then there exist an abelian subspace  $\mathfrak q$  of  $\mathfrak b_+ \cap w(\mathfrak b_-)$  and a  $u \in U_+ \cap wU_-w^{-1}$  such that  $\mathrm{Ad}(u)\mathfrak p \subset \mathfrak q \cap \mathfrak h + \mathfrak q \cap \mathfrak n_+$ . (ii) If x is  $\mathrm{ad}_{(\mathfrak g'\cap \mathfrak b_+)/\mathfrak c}$ -diagonalizable and  $x = x_1 + x_2$ , where  $x_1 \in \mathfrak h$ ,  $x_2 \in \mathfrak n_+ \cap w(\mathfrak n_-)$ , and  $[x_1,x_2] = 0$ , then  $x_2 = 0$ .

6. Now we prove the conjugacy theorems. We call a maximal  $ad_{\mathfrak{p}}$ -diagonalizable subalgebra of a Lie algebra  $\mathfrak{p}$  a split Cartan subalgebra.

THEOREM 2. Let g = g(A) be a Kac-Moody algebra associated to a symmetrizable generalized Cartan matrix A.

(a) Every split Cartan subalgebra of g(resp. g', g/c, or g'/c) is Ad(G)-conjugate to h(resp. h', h/c, or h'/c).

(b) If  $g_1 = g(A_1)$  is a Kac-Moody algebra, with center  $c_1$ , such that  $g_1'/c_1$  is isomorphic to  $g_1'/c$ , then  $A = A_1$  up to a bijection of index sets.

(c)  $\operatorname{Aut}_{\mathbf{F}}(\mathfrak{g}'/\mathfrak{c}) = \operatorname{Out}(A) \propto \operatorname{Ad}(\tilde{\mathbf{H}} \propto \mathbf{G}).$ 

**Proof:** Part a is immediate from Corollary 8. Parts b and c for g/c follow from part a and the fact that every root basis of  $\Delta$  is W-conjugate to  $\Pi$  or  $-\Pi$  (see ref. 1). Because  $ad_{g'/c}(g/c)$  [=  $Der_F(g'/c)$  in the nonaffine case] is the linear span of all diagonalizable derivations of g'/c, we obtain parts b and c.

COROLLARY 11. Aut<sub>F</sub>(g'/c) preserves the bilinear form (.|.) on g'/c.

We call a subalgebra  $\alpha$  of a Lie algebra  $\mathfrak p$  a completely solvable subalgebra if it can be included in a full ad( $\alpha$ )-invariant flag of the space  $\mathfrak p$  (i.e.,  $\mathfrak p$  is the union of ad( $\alpha$ )-invariant subspaces ...  $\mathfrak p$  is the union of ad( $\alpha$ )-invariant subspaces ...  $\mathfrak p$  is the union of ad( $\alpha$ )-invariant subspaces ...  $\mathfrak p$  is uch that  $\mathfrak p$  is uch that  $\mathfrak p$  is uch that  $\mathfrak p$  is uch algebra  $\mathfrak p$  and  $\mathfrak p$  is uch algebra  $\mathfrak p$  a Borel subalgebra.

THEOREM 3. Every completely solvable subalgebra of the Kac-Moody algebra  $\mathfrak g$  is contained in a Borel subalgebra. Every Borel subalgebra of  $\mathfrak g$  is Ad(G)-conjugate to  $\mathfrak b_+$  or to  $\mathfrak b_-$ . Every

Cartan subalgebra of  $\mathfrak{h}_+$  is  $Ad(U_+)$ -conjugate to  $\mathfrak{h}$ .

Choose  $h \in \mathfrak{h}$  such that  $\alpha_i(h)$  is a positive integer for all  $i \in I$ . The eigenspace decomposition for ad h defines a  $\mathbb{Z}$ -gradation  $U(\mathfrak{g}) = \bigoplus U(\mathfrak{g})_j$  and an associated filtration  $U(\mathfrak{g})_{(n)} = \bigoplus_{j \geq n} U(\mathfrak{g})_j$ . For  $V \subset U(\mathfrak{g})$ , put  $V_j = V \cap U(\mathfrak{g})_j$ ,  $V_{(j)} = V \cap U(\mathfrak{g})_{(j)}$ . We call subspaces A and B of a vector space V commensurable if dim- $(A + B)/(A \cap B) < \infty$ . The proof of Theorem 3 is based on the following Lemma 7.

LEMMA 7. Let  $\alpha$  be a subspace of  $\mathfrak g$  such that for every  $x \in \mathfrak g$  one has:  $\dim([\alpha,x]+\alpha)/\alpha < \infty$ . Then  $\alpha$  is commensurable with one of:  $(0), \mathfrak g, \mathfrak b_+, \mathfrak b_-$ .

Proof: Because  $\alpha+[h,\alpha]=\alpha+V$  for some finite-dimensional subspace V of  $\mathfrak{g}$ ,  $\alpha$  and  $\operatorname{ad}(U(\mathbb{F}h))$   $\alpha=\alpha+\operatorname{ad}(U(\mathbb{F}h))V$  are commensurable. Thus, we may assume  $\alpha=\operatorname{ad}(U(\mathbb{F}h))\alpha$ , so that  $\alpha=\oplus\alpha_j$ . Put  $T=\{j\in\mathbb{Z}|\alpha_j\neq(0)\}$ ,  $R=\{j\in\mathbb{Z}|[x,\alpha_j]\subset\alpha$  for some  $x\in\mathfrak{h}+\Sigma_{i\in I}$  ( $\mathbb{F}e_i+\mathbb{F}f_i$ )}. Then R is finite by our assumption on  $\alpha$ . Suppose that  $T\cap\mathbb{Z}_+$  is infinite. Choose a positive n in T greater than  $\max(R)$ . If  $m\geq 0$ , then  $\operatorname{ad}(U(\mathfrak{g})_m)\alpha_n=\Sigma_{k\geq 0}\operatorname{ad}(U(\mathfrak{n}_-)_{-k}U(\mathfrak{h})U(\mathfrak{n}_+)_{m+k})\alpha_n$  is contained in  $\alpha$  by the choice of n. But  $\operatorname{ad}(U(\mathfrak{g}))\alpha_n$  is a noncentral ideal of  $\mathfrak{g}$  and so equals  $\mathfrak{g}'$ . Therefore,  $\mathfrak{g}_{(n)}\subset\alpha$ . Similarly, if  $T\cap\mathbb{Z}_+$  is infinite, then  $\omega(\mathfrak{g}_{(n')})\subset\alpha$  for some  $n'\in\mathbb{Z}$ . Lemma 7 follows.

Proof of Theorem 3: We need three observations: (i) If dim  $g = \infty$  and if  $V_1$ ,  $V_2$  are subspaces of g of finite codimension, then  $[V_1,V_2] \cap \mathfrak{h} \neq (0)$ . (ii) If dim  $g = \infty$  and  $k,m,n \in \mathbb{Z}$ , then there exists  $\ell \in \mathbb{Z}$  such that  $\{x \in g_{(k)} | [x,g_{(\ell)}] \subset g_{(m)}\} \subset \mathfrak{c} + g_{(n)}$ . (iii) Let  $\alpha$  be a subalgebra of a Lie algebra  $\mathfrak{p}$  such that  $\alpha$  is adplayed triangular, and let  $(V,\pi)$  be an absolutely irreducible  $\mathfrak{p}$ -module, all over  $\mathfrak{F}$ . If  $\alpha$  is  $\pi$ -triangular over an algebraic closure of  $\mathfrak{F}$ , then  $\alpha$  is  $\pi$ -triangular.

Let  $\mathfrak b$  be a completely solvable subalgebra of  $\mathfrak g$ . We now show that  $\mathfrak b$  is  $\mathrm{Ad}(G)$ -conjugate to a subalgebra of  $\mathfrak b_+$  or  $\mathfrak b_-$ . This follows from Lemma  $\mathfrak b$  if  $\dim \mathfrak b < \infty$ , so we assume  $\dim \mathfrak b = \infty$  and, hence,  $\dim \mathfrak g = \infty$ . By using i,  $\dim(\mathfrak g/\mathfrak b) = \infty$ . By Lemma 7,  $\mathfrak b$  is commensurable with  $\mathfrak b_+$  or with  $\mathfrak b_-$ , say for definiteness with  $\mathfrak b_+$ . Fix  $\Lambda \in P_{++}$ . By using i and Lemma 5a, we show that  $\mathfrak b$  is  $\pi_\Lambda$ -triangular over an algebraic closure of  $\mathbb F$ . By i ii,  $\mathfrak b$  is  $\pi_\Lambda$ -triangular. As in the proof of Lemma a, this implies that a0 a1 a2 Ad(a3)a3 a4 implies a5 a5. Because a6, this implies that a6 a6 a7 Ad(a3)a9 a9 a9 Ad(a3)a9 a9 in and hence a9. Because a9 a9 Ad(a9)a9 in policy a9. By a Borel subalgebra of a9.

Let  $\alpha$  be a finite-dimensional  $\mathrm{ad}_{\mathfrak{b}_+}$ -diagonalizable subalgebra of  $\mathfrak{b}_+$ . Because  $\alpha$  is  $\mathrm{ad}_{\mathfrak{b}_+}$ - and  $\mathrm{ad}_{\mathfrak{g}/\mathfrak{b}_+}$ -triangular,  $\alpha$  is  $\mathrm{ad}_{\mathfrak{g}}$ -triangular. Hence  $\mathrm{Ad}(u)$   $\alpha \subset \mathfrak{b}_+ \cap w(\mathfrak{b}_-)$  for some  $u \in U_+$  and  $w \in W$  by using Lemma 6. By the observations following Corollary 10,  $\alpha \subset \mathrm{Ad}(u')\mathfrak{h}$  for some  $u' \in U_+$ . Theorem 3 follows.

## Remarks

(i) All results of this paper not explicitly involving the form (.|.) can be extended to the case of an arbitrary generalized Cartan matrix.

(ii) One may consider "formal" completions of G and  $L(\Lambda)$  and extend the results of the paper to this case. It follows from the "formal" analog of *Theorem 1b* that the orbit of the highest weight vector exhausts all formal solutions of the KdV-type hierarchies of Hirota bilinear equations constructed by Date-Jimbo-Kashiwara-Miwa. Furthermore, the ideal of equations satisfied by these solutions is generated by Hirota bilinear equations (cf. ref. 19).

(iii) In a sequel to this paper, we shall define a notion of regular function on G and prove Peter-Weyl- and Borel-Weil-type theorems (one special case is treated in ref. 13). Furthermore, for  $\mathbb{F}=\mathbb{C}$  we define a Hausdorff topology on G in which G is a connected simply-connected paracompact topological group. The Iwasawa decomposition is a homeomorphism, and we have

a covering of G by open charts  $wU_-HU_+(w \in W)$  (see ref. 19).

By Zariski (resp. metric if  $\mathbb{F} = \mathbb{C}$ ) topology on  $L(\Lambda)$  we mean the finest topology that induces the Zariski (resp. metric) topology on finite-dimensional subspaces. Put  $\mathcal{F}_{\Lambda} = \mathbf{P} \mathcal{V}, C_{\lambda} =$  $\mathbb{P}V(\lambda)_+, C^{\lambda} = \mathbb{P}V(\lambda)_-, \overline{C_{\lambda}} = \mathbb{P}\overline{V(\lambda)_+}, C^{\lambda} = \mathbb{P}\overline{V(\lambda)_-}, d_{\lambda} = |\phi(\lambda)|(\lambda \in W(\Lambda)).$  We deduce from *Theorem 1* that  $\mathscr{F}_{\Lambda}$  is a disjoint union of locally closed subvarieties  $C_{\lambda}(\text{resp. }C^{\lambda})$  that are isomorphic to  $\mathbb{F}^{d_{\lambda}}$  (resp. have codimension  $d_{\lambda}$ ), and  $\overline{C}_{\lambda}$ (resp.  $\overline{C}^{\lambda}$ ) is the closure of  $C_{\lambda}(\text{resp. }C^{\lambda})$ .  $\mathcal{F}_{\Lambda}$  in the metric topology is a CW-complex with open cells  $C_{\lambda}$ , and the singular homology of  $\mathcal{F}_{\Lambda}$  is a free Z-module on generators of degree  $2d_{\lambda}$ . The varieties  $\mathcal{F}_{\Lambda}$  are called flag varieties of G;  $C_{\lambda}$  and  $C^{\lambda}$  (resp.  $\overline{C}_{\lambda}$  and  $\overline{C}^{\lambda}$ ) are called finite and cofinite Schubert cells (resp. varieties). The above decompositions of the flag varieties are called Bruhat and Birkhoff decompositions. We have a homeomorphism  $\mathcal{F}_{\Lambda}$  $= G/P_X$ . We owe to ref. 14 the idea of studying flag varieties by using representation theory. In the affine case, the study of flag varieties goes back to Birkhoff and Bott (cf. refs. 15-17). Tits has shown recently that the flag varieties depend up to isomorphism only on the set X.

(iv) One can show that the Hermitian form  $(x|y)_0 = -(x|\omega_0(y))$ on g is positive-definite on  $n_+$  and  $n_-$ . Using the argument of ref. 18, one deduces that every  $L(\Lambda)$  carries a positive-definite K-invariant Hermitian form. As one of the applications, one can define the moment map and prove a generalization of the SchurHorn-Kostant-Atiyah convexity theorem. This will be discussed in a subsequent paper.

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